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## Infrared characterization of conformational differences in the lamellar phases of 1,3-dipalmitoyl-*sn*-glycero-2-phosphocholine

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Fourier transform infrared spectroscopy was used to characterize the lamellar phases of 1,3-dipalmitoyl-*sn*-glycero-2-phosphocholine (1,3-DPPC), a positional isomer of 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (1,2-DPPC). The molecule exists in three distinct phases over the temperature interval 0–70°C. In the low-temperature ( $L_C$ ) phase, the spectra are indicative of acyl chains packed in an orthorhombic subcell, while the carbonyl groups and phosphate ester at the head group show evidence of only partial hydration. The transition from the low-temperature ( $L_C$ ) phase to the intermediate-temperature ( $L_\beta$ ) phase at 25°C corresponds to a temperature-induced head-group hydration in which the hydration of the phosphate and carbonyl ester groups results in the reorganization of the hydrocarbon chain-packing subcell from orthorhombic to hexagonal. The transition from the intermediate ( $L_\beta$ ) to the high-temperature ( $L_\alpha$ ) phase at 37°C is a gel-to-liquid-crystalline phase transition analogous to the 41.5°C transition of 1,2-DPPC. The spectra of the acyl-chain carbonyl groups show evidence of significant differences in molecular conformation at the carbonyl esters in the  $L_C$  phase. In the  $L_\beta$  and  $L_\alpha$  phases, the carbonyl band contour becomes much more symmetric. However, two components are clearly present in the spectra indicating that the *sn*-1 and *sn*-3 carbonyls experience slightly different environments. The observed differences are likely due to a preferred conformation of the phosphocholine group relative to the glycerol backbone. Indications from the infrared spectra of differences in the structure of the C=O groups provide a possible explanation for the selection of the *sn*-1 chain of 1,3-DPPC by phospholipase A<sub>2</sub> on the basis of a preferred head group conformation.

### Introduction

Vibrational spectroscopic techniques have been used with considerable success in recent years in

elucidating the molecular and conformational properties of membrane phospholipids. In particular, due to its enhanced sensitivity and precision over dispersive infrared spectroscopy, Fourier transform infrared spectroscopy (FT-IR) has allowed the precise study of pure lipids and the interaction of these molecules with ions, cholesterol, membrane proteins, and other lipids [1–4].

In the present study we have investigated the thermotropic behavior of the positional isomer 1,3-dipalmitoyl-*sn*-glycero-2-phosphocholine (1,3-DPPC) using FT-IR. While not naturally occurring, these 1,3-phospholipids have been shown to

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Abbreviations: DPPC, dipalmitoylglycerophosphocholine, FT-IR, Fourier transform infrared spectroscopy

act as substrates for phospholipase A<sub>2</sub> [5,6] and the question arises as to what particular aspects of molecular conformation common to 1,3- and 1,2-DPPC correlate with substrate binding [7–9]. Differential scanning calorimetric studies reveal the occurrence of two reversible phase transitions, at 25 and 37°C, with the higher-temperature transition having an enthalpy characteristic of a gel-to-liquid-crystalline transition (Chowdhry et al., unpublished data). X-ray diffraction studies confirm this description, and demonstrate that the low-temperature phase is semicrystalline in nature [9].

## Materials and Methods

**Materials** 1,3-Dipalmitoyl-*sn*-glycero-2-phosphocholine was purchased from Fluka (Hauppauge, NY). Purification and calorimetry of the purified material were as described [10]. <sup>2</sup>H<sub>2</sub>O was purchased from Merck, Sharp & Dohme (Montreal).

**Sample preparation** Multilamellar lipid dispersions were prepared by the addition of the appropriate amount of doubly deionized H<sub>2</sub>O or <sup>2</sup>H<sub>2</sub>O to the dry lipid. The samples were then heated to 50°C and vortexed for 10 min. The heating cycle was repeated several times in order to ensure complete dispersion. Typically, the final concentration of lipid was 50 mM.

**Fourier transform infrared spectroscopy** Samples were prepared for infrared spectroscopic analysis in 50-μm-thick demountable cells (Harrick Scientific, Ossining, New York) with Mylar spacers and CaF<sub>2</sub> windows. Spectra were recorded on a Digilab FTS-15 Fourier transform infrared spectrometer equipped with an HgCdTe detector. 250 interferograms, collected with an optical velocity of 1.26 cm s<sup>-1</sup> and a maximum optical retardation of 0.25 cm, were coadded, apodized with a triangular function, and Fourier transformed with one level of zero filling to yield a resolution of 4 cm<sup>-1</sup> and data were encoded every 2 cm<sup>-1</sup>.

Temperatures were controlled by a thermostatted EtOH-H<sub>2</sub>O mixture flowing through a hollow cell mount and monitored by a copper-constantan thermocouple placed against the edge of the cell window [11].

Frequencies and bandwidths were determined with an uncertainty of less than ±0.01 cm<sup>-1</sup> by using a center-of-gravity algorithm [12].

## Results

### Acyl chain absorptions

Figs 1 and 2 show, respectively, the 3000–2800 cm<sup>-1</sup> and the 1500–1150 cm<sup>-1</sup> regions of the infrared spectrum of hydrated 1,3-DPPC at 20, 33 and 43°C. These regions encompass the principal absorption bands associated with the hydrophobic acyl chains, and at these temperatures the spectra are those of the L<sub>C</sub> (20°C), L<sub>β</sub> (33°C) and L<sub>α</sub> (43°C) phases.

The low-temperature L<sub>C</sub> phase spectrum is typical of highly ordered, long acyl chains. The most striking feature is the two sharp CH<sub>2</sub> scissoring bands at 1472 and 1462 cm<sup>-1</sup>. The splitting of the scissoring band results from interchain interactions when the acyl chains are rigidly packed in a three-dimensional lattice [13,14]. In the simpler *n*-alkanes and fatty acids the subcell is orthorhombic. In lipids it is more likely to be a complex hybrid [15,16].

Additional evidence for rigid packing comes from the strong series of CH<sub>2</sub> wagging bands stretching from 1180 to 1350 cm<sup>-1</sup> (superimposed on the broad asymmetric PO<sub>2</sub><sup>-</sup> stretching band). The band progression results from the wagging of *n*-coupled CH<sub>2</sub> oscillators in an all-*trans* conformation. The phase differences,  $\psi$ , allowed between the *n* different groups are given by

$$\psi = k\pi/(n+1)$$

where *k* is an integer from 1 to *n* and corresponds to the number of loops in the stationary wave that represents the normal mode [17]. The frequencies of the components of the progression seen in the L<sub>C</sub> phase spectrum of 1,3-DPPC confirm the presence of all-*trans* chains, while the intensities indicate rigid packing when compared with those in the L<sub>β</sub> phase spectrum (see below).

Finally, the antisymmetric and symmetric CH<sub>2</sub> stretching bands are observed at 2916.2 and 2848.0 cm<sup>-1</sup>, respectively, typical of all-*trans* hydrocarbon chains [18]. In addition the asymmetric CH<sub>3</sub> stretching band contour near 2954 cm<sup>-1</sup> is partially split, as is clearly shown in the deconvoluted spectrum (Fig. 1, inset). Such splitting occurs when the rotation of the methyl group relative to the adjacent CH<sub>2</sub> is severely restricted, and is typically observed in the spectra of orthorhombic

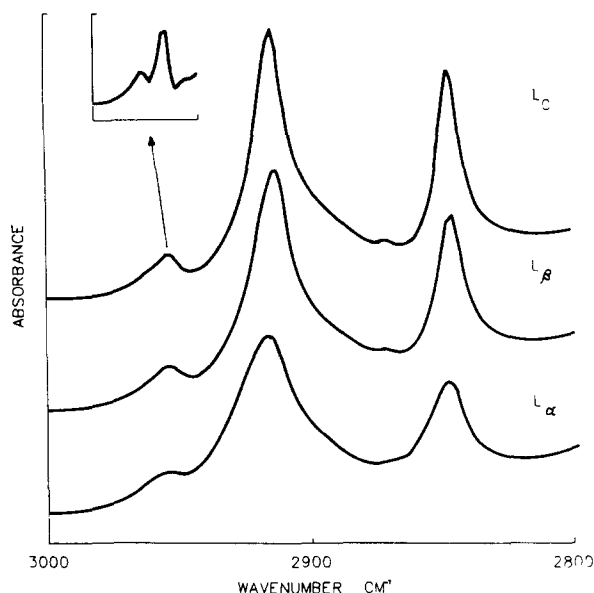


Fig 1 Infrared spectra of a 50- $\mu\text{m}$ -thick sample of 1,3-DPPC in  $^2\text{H}_2\text{O}$  in the  $L_C$  (20°C),  $L_\beta$  (33°C) and  $L_\alpha$  (45°C) phases in the region 3000–2800  $\text{cm}^{-1}$  that encompasses the C–H stretching bands. Inset: Fourier self-deconvolution spectrum of the asymmetric  $\text{CH}_3$  stretching band at 2954  $\text{cm}^{-1}$  in the  $L_C$  phase (20°C). The spectrum was deconvoluted with a 4  $\text{cm}^{-1}$  halfwidth Lorentzian line and smoothed to  $K=2.0$  with a Bessel function [31–32].

and triclinic crystals of alkanes and fatty acids [19].

The transition of the  $L_\beta$  phase at 25°C results in marked changes in the acyl-chain spectrum. The principal change is the collapse of the  $\text{CH}_2$  scissoring doublet to a single band at 1468  $\text{cm}^{-1}$ . This frequency is characteristic of long acyl chains in an hexagonal subcell, with high mobility about the long axis of the acyl chain [13].

The  $\text{CH}_2$  wagging progression is still present, but greatly reduced in intensity. The  $\text{CH}_2$  stretching bands are broader, less intense, and shifted slightly in frequency (see below), while the asymmetric  $\text{CH}_3$  stretching band is now a singlet. All of these changes are similar in magnitude and direction to those observed in the spectra of *n*-alkanes on transition from the orthorhombic to the “hexagonal” or “rotator” phase [20].

In the  $L_\alpha$  phase spectrum above 37°C the intensity of the 1468  $\text{cm}^{-1}$  bands is decreased, the wagging progression is absent, and the C–H stretching bands have broadened and shifted to

higher frequency. These changes indicate the introduction of a high degree of conformational disorder, and are similar to those observed in the spectrum of 1,2-DPPC on transition from the  $L_\beta$  to the  $L_\alpha$  phase [4].

#### Head-group absorptions

Fig 3 shows the 1150–1000  $\text{cm}^{-1}$  region of the infrared spectrum of 1,3-DPPC in the  $L_C$ ,  $L_\beta$  and  $L_\alpha$  phases. The strong band near 1090  $\text{cm}^{-1}$  is the symmetric  $\text{PO}_2^-$  stretching band, overlapped with a C–O–C stretching band near 1070  $\text{cm}^{-1}$  and a weak C–C stretching band near 1080  $\text{cm}^{-1}$ . In the  $L_C$  phase spectrum lines are narrow and reasonably well resolved. Transition to the  $L_\beta$  phase results in broadening, a shift to the  $\text{PO}_2^-$  stretching band from 1090.2 ( $L_C$ ) to 1086.4  $\text{cm}^{-1}$  ( $L_\beta$ ). Little change is observed on transition to the  $L_\alpha$  phase.

The  $L_C$  phase spectrum resembles that ob-

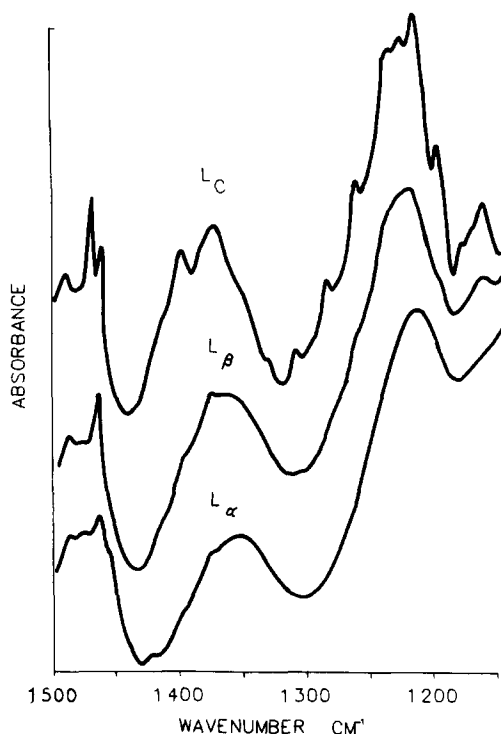


Fig 2 Infrared spectra of a 50- $\mu\text{m}$ -thick sample of 1,3-DPPC in  $\text{H}_2\text{O}$  in the  $L_C$  (20°C),  $L_\beta$  (33°C) and  $L_\alpha$  (45°C) phases in the region 1500–1150  $\text{cm}^{-1}$  which encompass the  $\text{CH}_2$  scissoring band ( $\approx 1468 \text{ cm}^{-1}$ ) as well as the  $\text{CH}_2$  wagging band superimposed on the broad asymmetric  $[\text{O}–\text{P}–\text{O}]^-$  stretching band near 1230  $\text{cm}^{-1}$ .

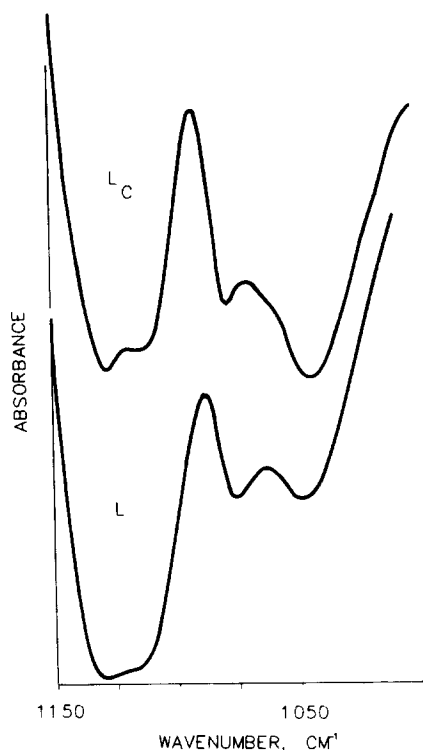


Fig 3 Infrared spectra of a 50- $\mu$ m-thick sample of 1,3-DPPC in  $^2\text{H}_2\text{O}$  in the  $L_C$  (20°C) and  $L_\beta$  (33°C) phases in the region 1150–1000  $\text{cm}^{-1}$ . The major feature is the symmetric  $[\text{O}-\text{P}-\text{O}]^-$  stretching band (see text)

served in studies of poorly hydrated 1,2-DPPC, while the  $L_\beta$  and  $L_\alpha$  phase spectra are typical of the spectra of a fully hydrated lipid [21]. Further a shift to lower frequency of the symmetric  $\text{PO}_2^-$  stretching band on hydration has been demonstrated [22,23], and we conclude that the spectral changes indicate that in the  $L_C$  phase the phosphate group is poorly hydrated, and the transition to the  $L_\beta$  phase involves hydration of this group. A similar shift would be expected for the asymmetric  $\text{PO}_2^-$  stretching band but any changes are obscured by the wagging band progression.

The C=O stretching band was also monitored as a function of temperature. Fig 4A shows the band at several temperatures encompassing the two transitions, while Fig 4B shows the band following Fourier self-deconvolution. In the low-temperature phase the band is virtually invariant from 5–20°C. The deconvolved spectra demonstrate that it is comprised of two principle bands at about 1742 and 1725  $\text{cm}^{-1}$ . We note a close agreement of the frequencies of the two components of the 1,3-DPPC carbonyl band contour with those of 1,2-DPPC (1741 and 1727  $\text{cm}^{-1}$  in the spectrum of 1,2-DPPC).

The observed frequency and intensity differences in the two components of the carbonyl

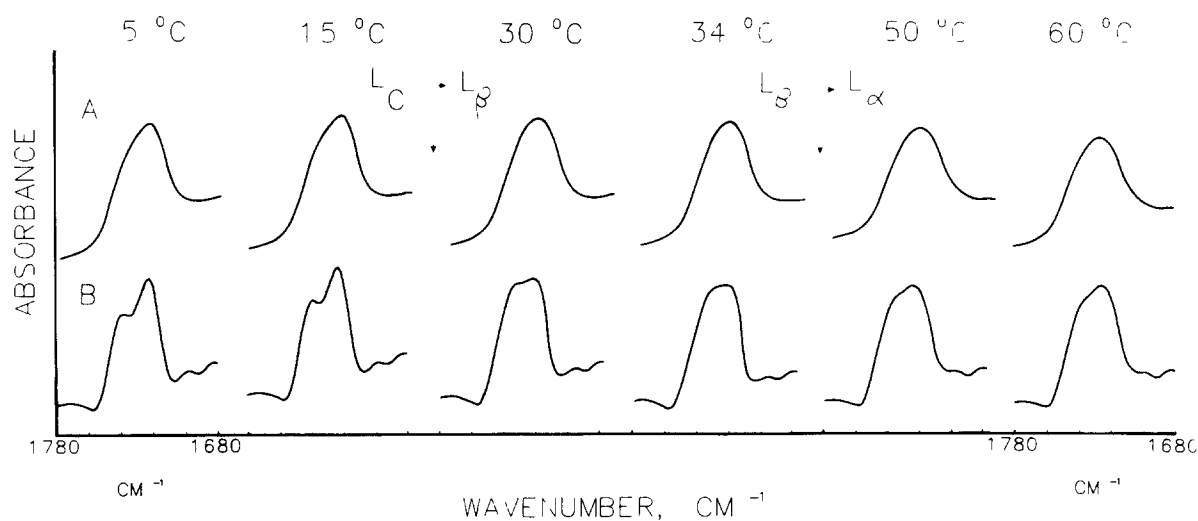


Fig 4 Temperature dependence of the infrared spectra of the C=O stretching region for a 50- $\mu$ m-thick sample of 1,3-DPPC in  $^2\text{H}_2\text{O}$ . The spectra in (B) have been Fourier self-deconvolved with a 16  $\text{cm}^{-1}$  halfwidth Lorentzian line smoothed to  $K=1.8$  with a Bessel function [31,32].

spectrum in the  $L_c$  phase can be correlated with an increase in the dielectric constant of the medium [24]. The dehydration of the hydrophilic region in the  $L_c$  phase leads to a situation in which the carbonyl groups are deshielded from the electronic charge of the negatively charged phosphate group [25,26], as a consequence, the perceived dielectric constant at the carbonyl increases resulting in an increase in band intensity.

The splitting of the carbonyl band suggests that both carbonyls do not experience identical environments in the  $L_c$  phase. In this case, the  $1742\text{ cm}^{-1}$  carbonyl reflects a more hydrophobic environment, as indicated by the higher vibrational frequency.

During the transition to the  $L_\beta$  phase, the  $1725\text{ cm}^{-1}$  band loses intensity and shifts to higher frequency, suggesting decreased intramolecular interactions resulting from rehydration of the head group. The transition to the  $L_\beta$  phase results in a single asymmetric band contour. This asymmetry indicates that there are at least two discrete bands present. The deconvolved spectra also demonstrate that the principal changes resulting from the transition are band broadening and a shift to higher

frequency of the  $1725\text{ cm}^{-1}$  band. Little change results from transition to the  $L_\alpha$  phase at  $37^\circ\text{C}$ , the presence of two components in the carbonyl band contour again suggests that the *sn*-1 and *sn*-3 C=O adopt slightly different conformations in the  $L_\alpha$  phase.

### Cooperativity

In order to obtain an indication of the cooperativity of the transitions the frequency and full-width at three-quarters peak height of the asymmetric  $\text{CH}_2$  stretching band at  $2920\text{ cm}^{-1}$  were monitored as a function of temperature and are shown in Figs 5 and 6. Both transitions are clearly evident. The  $L_\beta \rightarrow L_\alpha$  transition is highly co-operative, occurring within a  $1^\circ\text{C}$  temperature interval. The large increases in bandwidth and frequency are typical of those resulting from the introduction of a large population of *gauche* rotamers.

The  $L_c \rightarrow L_\beta$  transition occurs in the range  $20\text{--}30^\circ\text{C}$ . The overall changes in frequency ( $0.3\text{ cm}^{-1}$ ) and bandwidth ( $2\text{ cm}^{-1}$ ) are similar to those observed in studies of the orthorhombic  $\rightarrow$  hexagonal transition of alkanes [20]. However, during the transition the bandwidth reaches a

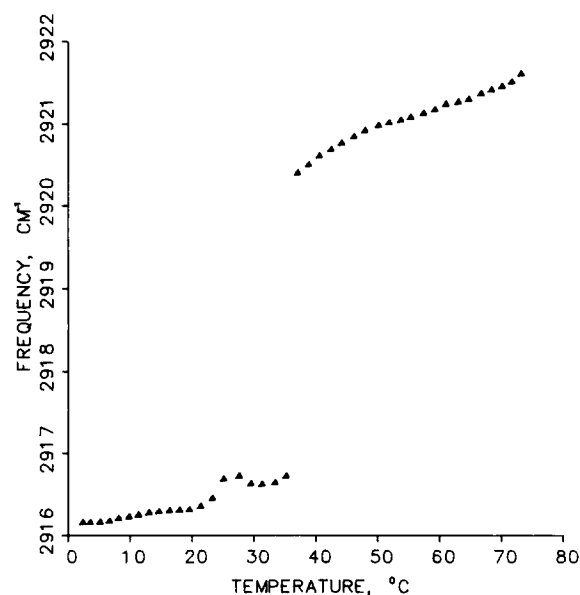


Fig. 5 Plot of the frequency vs. temperature for the asymmetric  $\text{CH}_2$  stretching band at  $2920\text{ cm}^{-1}$  in 1,3-DPPC multilayers in  $^2\text{H}_2\text{O}$ . Frequencies were determined by using a 3-point center-of-gravity algorithm [12].

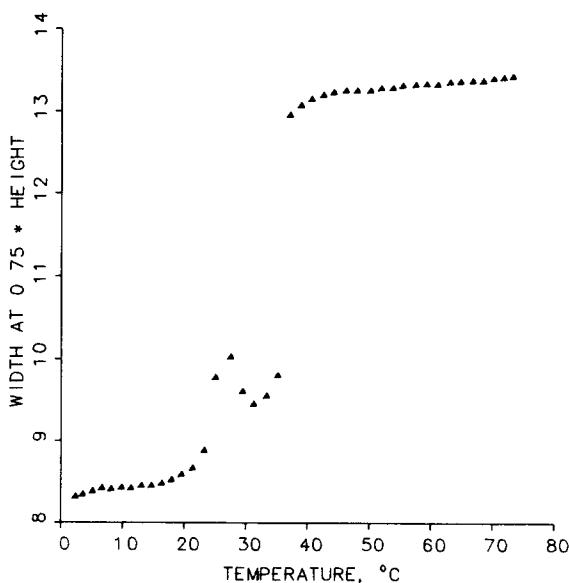


Fig. 6 Plot of the full width at three-quarters maximum peak height for the asymmetric  $\text{CH}_2$  stretching band at  $2920\text{ cm}^{-1}$  in 1,3-DPPC multilayers in  $^2\text{H}_2\text{O}$ .

maximum value and then decreases, the maximum occurring at a temperature lower than that of the maximum rate of change of frequency. This behavior has been observed in studies of surfactants, lipids and natural biomembranes [1,27,28]. It results from the overlap of two simultaneously varying absorption bands, and hence is indicative of the co-existence of two phases during the transition. Similar confirmation of a low-cooperativity transition was obtained from plots of the width of the C=O stretching band (not shown).

## Discussion

The data presented here confirm that the  $L_\beta \rightarrow L_\alpha$  transition is highly co-operative, while the  $L_c \rightarrow L_\beta$  transition has a low degree of co-operativity, occurring over a 5–10 Cdeg range. In this regard, our data are in agreement with the results of X-ray studies, which showed that during the  $L_c \rightarrow L_\beta$  transition two phases are present in temperature-dependent proportions [9].

Considerable insight can also be gained into the nature of the acyl-chain packing and head-group conformation in the various phases. In the  $L_c$  phase the acyl chains are highly ordered with severely restricted mobility about the long axis in all positions. The packing is such that interchain interactions giving rise to factor group splitting of the  $\text{CH}_2$  scissoring mode take place. This indicates that the packing is highly crystalline, in agreement with X-ray data [9], with the subcell being orthorhombic or one of the complex hybrid subcells.

In the  $L_\beta$  phase the acyl chains are still conformationally highly ordered. However, the spectra indicate that the packing is hexagonal, with high mobility about the acyl-chain long axis. X-ray studies are in agreement, and further indicate that in this phase the chain layers may be interdigitated [9]. No information on this aspect can be gained from the infrared spectra.

Finally, the  $L_\alpha$  phase spectrum indicates high conformational disorder, and is typical of the liquid-crystal spectra of other phospholipids [4].

Turning to the head-group modes, the  $L_c$  phase spectra are characteristic of a poorly hydrated system, while the  $L_\beta$  and  $L_\alpha$  phase spectra closely resemble those of fully hydrated 1,2-DPPC. Particularly interesting in the various phases is the

shape of the C=O stretching band contour. In the  $L_c$  phase spectrum there are clearly two major components present. In terms of the relative intensities, frequencies and band shapes, this spectrum closely resembles that of partially dehydrated 1,2-DPPC [21]. The general trends are characteristic of a C=O group experiencing an increase in the effective dielectric constant of the medium as  $\text{H}_2\text{O}$  is removed from the glycerophosphocholine region and the polar groups are brought into closer proximity [25,26]. Although the structure of 1,3-DPPC suggests a priori that both carbonyl groups have an equal probability of interacting with the phosphate group, the infrared spectra (Fig. 4) reveal that one chain experiences a higher dielectric constant, giving rise to the lower-frequency band. We also note that due to the crystal lattice the hydrocarbon chains are tilted in the  $L_c$  phase [15], since the crystal lattice is rigid and hence regular, it is not unexpected that the head groups also adopt a regular form of packing.

Unlike the interfacial region in 1,2-DPPC, in which the *sn*-1 and *sn*-2 carbonyls are in different environments (and hence have different vibrational frequencies) due to the structural inequivalence of the two acyl chains [25,26], the *sn*-1 and *sn*-3 acyl chains in 1,3-DPPC have been shown to be structurally equivalent [7,8]. Therefore, a different mechanism is necessary to interpret the observed carbonyl band contour in 1,3-DPPC.

An assignment of the carbonyl bands of 1,3-DPPC to a particular acyl chain is possible in view of the enzymatic activity of phospholipase  $A_2$ . This enzyme catalyzes the stereospecific hydrolysis of the carbonyl ester at the *sn*-1 chain of 1,3-DPPC [29]. The stereospecificity of phospholipase  $A_2$  activity arises from the specific nature of the active site [34], in particular the ternary enzyme- $\text{Ca}^{2+}$ -phospholipid complex. The role of  $\text{Ca}^{2+}$  appears to be that of an intermediary between the negative charge of the phosphate and the ester carbonyl oxygen in the group undergoing cleavage [34]. The implication in the case 1,3-DPPC is that the stereospecific hydrolysis of the *sn*-1 ester would require the preferred orientation of the phosphocholine group relative to that of the *sn*-1 carbonyl, thus giving rise to inequivalent environments for the two carbonyls and, therefore, different vibrational frequencies. The relative orientation of the phos-

phocholine head group towards the *sn*-1 carbonyl would also increase the effective dielectric constant experienced by that C=O, thereby giving rise to a lower-frequency infrared band. On this basis, we assign the  $1725\text{ cm}^{-1}$  band to the *sn*-1 C=O and the  $1742\text{ cm}^{-1}$  band to the *sn*-3 C=O.

In the  $L_\beta$  and  $L_\alpha$  phase spectra the C=O contour is much more symmetric than in the  $L_c$  phase spectrum. However, in the spectra of the lipid in both phases, there are still clearly two components present (Fig. 4). This indicates that the C=O groups are in slightly different environments. This could be attributed to a slight tilt of the glycerol group relative to the bilayer surface, and/or a preferred conformation of the phosphocholine group relative to the glycerol group. A recent  $^2\text{H}$ -NMR study concluded that there was no major difference between the mobility of the  $2\text{-CH}_2$  groups of the *sn*-1 and *sn*-3 chains of 1,3-DPPC [7]. This indicates that there is no substantial intrachain difference in conformation about the  $\text{C}_2\text{-C}_1$  bond of the  $\text{C}_3\text{-C}_2\text{-C}_1\text{-O}$  structural unit. These results are consistent with the interpretation stated above of the infrared data as a preferred tilt of the phosphocholine group relative to the glycerol backbone that results in different environments for the *sn*-1 and *sn*-3 carbonyls. The indications from the infrared spectra of differences in the structure of the C=O groups provide a possible explanation for the selection of the *sn*-1 chain of 1,3-DPPC by phospholipase  $A_2$  on the basis of a preferred head group conformation.

Transition to the  $L_\beta$  phase of 1,3-DPPC at  $25^\circ\text{C}$  produces changes in the spectra of the phosphate group. The frequency of the symmetric  $\text{PO}_2^-$  stretching band shifts from  $1090.2\text{ cm}^{-1}$  to  $1086.4\text{ cm}^{-1}$ , indicating a rehydration of the phosphate ester. The effect of the binding of  $\text{H}_2\text{O}$  to the phosphate group is to separate and shield the polar carbonyl groups from the charged phosphate. As a consequence of the perceived decrease in the dielectric constant, the C=O stretching band of the *sn*-1 acyl chain loses intensity and shifts to higher frequency. The result is a single asymmetric contour for the C=O stretching band.

The binding of additional  $\text{H}_2\text{O}$  to the phosphate group has implications for the acyl chain packing characteristics in the  $L_\beta$  phase. As has been shown for the cholesterol-DMPC interaction,

a decrease in carbonyl intensity suggests a loosening of the lipid crystal lattice which leads to a less densely packed bilayer [25]. As seen in Fig. 2 and discussed above, the transition to the  $L_\beta$  phase results in a collapse of the factor group splitting of the  $\text{CH}_2$  methylene scissoring band at  $1472$  and  $1464\text{ cm}^{-1}$  and the appearance of a single band at  $1468\text{ cm}^{-1}$ . This frequency is characteristic of hydrocarbon chains packed in a hexagonal or near-hexagonal lattice in which the acyl chains have increased freedom to undergo rapid torsional motions about the long axis [3].

In view of the above data, the  $L_c \rightarrow L_\beta$  phase transition of 1,3-DPPC at  $25^\circ\text{C}$  corresponds to a temperature-induced hydration of the head-group phosphate and carbonyl esters which results in the reorganization of the hydrocarbon chain lattice from orthorhombic ( $L_c$  phase) to hexagonal ( $L_\beta$  phase). It is also clear that the transition of 1,3-DPPC at  $25^\circ\text{C}$  corresponds, in general terms, to the sub-transition of 1,2-DPPC [11,30]. That is, there is hydration, low cooperativity, and transition to hexagonal packing. The principal difference lies in the specific form of the packing in the  $L_c$  phase.

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